

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE NORTHERN DISTRICT OF TEXAS  
DALLAS DIVISION**

In re:

HIGHLAND CAPITAL MANAGEMENT, L.P.,<sup>1</sup>

## Reorganized Debtor.

HIGHLAND CAPITAL MANAGEMENT, L.P.

Plaintiff,

VS.

HIGHLAND CAPITAL MANAGEMENT FUND  
ADVISORS, L.P. AND NEXPOINT ADVISORS, L.P.,

Defendants.

## Chapter 11

Case No. 19-34054 (SGJ)

Adv. Pro. No. 21-03010 (SGJ)

## CERTIFICATE OF SERVICE

I, Hannah Bussey, depose and say that I am employed by Kurtzman Carson Consultants LLC (“KCC”), the claims and noticing agent for the Reorganized Debtor in the above-captioned case.

On April 8, 2022, at my direction and under my supervision, employees of KCC caused the following documents to be served via Electronic Mail upon the service list attached hereto as **Exhibit A:**

- **Plaintiff's Notice of Service of a Trial Subpoena to Frank Waterhouse** [Docket No. 95]
- **Joint Pretrial Order** [Docket No. 96]
- **Reorganized Debtor's Emergency Motion to Redact Certain Exhibits Attached to Reorganized Debtor's Witness and Exhibit List with Respect to Trial to be Held on April 12 - 13, 2022** [Docket No. 97]

<sup>1</sup> The Reorganized Debtor's last four digits of its taxpayer identification number are (8357). The headquarters and service address for the above-captioned Reorganized Debtor is 100 Crescent Court, Suite 1850, Dallas, TX 75201.

Furthermore, on April 8, 2022, at my direction and under my supervision, employees of KCC caused the following document to be served via Electronic Mail upon the service list attached hereto as **Exhibit B**; and via First Class Mail upon the service lists attached hereto as **Exhibit C** and **Exhibit D**:

- **Plaintiff's Notice of Service of a Trial Subpoena to Frank Waterhouse** [Docket No. 95]

Furthermore, on April 8, 2022, at my direction and under my supervision, employees of KCC caused the following document to be served via Electronic Mail upon the service list attached hereto as **Exhibit E**:

- **Reorganized Debtor's Emergency Motion to Redact Certain Exhibits Attached to Reorganized Debtor's Witness and Exhibit List with Respect to Trial to be Held on April 12 - 13, 2022** [Docket No. 97]

Dated: April 12, 2022

/s/ Hannah Bussey  
Hannah Bussey  
KCC  
Meidinger Tower  
462 South 4th Street  
Louisville, KY 40202

## **EXHIBIT A**

## Exhibit A

Adversary Service List  
Served via Electronic Mail

Description	CreditorName	CreditorNoticeName	Email
Financial Advisor to Official Committee of Unsecured Creditors	FTI Consulting	Earnestiena Cheng, Daniel H O'Brien	Earnestiena.Cheng@fticonsulting.com; Daniel.H.O'Brien@fticonsulting.com
Counsel for the Debtor	Hayward & Associates PLLC	Melissa S. Hayward, Zachery Z. Annable	MHayward@HaywardFirm.com; ZAnnable@HaywardFirm.com
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Highland Income Fund, NexPoint Strategic Opportunities Fund and NexPoint Capital Inc.	K&L Gates LLP	A. Lee Hogewood, III	Lee.hogewood@klgates.com
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Highland Income Fund, NexPoint Strategic Opportunities Fund and NexPoint Capital Inc.	K&L Gates LLP	Artoush Varshosaz	artoush.varshosaz@klgates.com
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., et al	K&L Gates LLP	David R. Fine	david.fine@klgates.com
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., et al	K&L Gates LLP	Emily K. Mather	emily.mather@klgates.com
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Highland Income Fund, NexPoint Strategic Opportunities Fund and NexPoint Capital Inc.	K&L Gates LLP	James A. Wright III	james.wright@klgates.com
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Highland Income Fund, NexPoint Strategic Opportunities Fund and NexPoint Capital Inc.	K&L Gates LLP	Stephen G. Topetzes	stephen.topetzes@klgates.com
Counsel for UBS Securities LLC and UBS AG London Branch	Latham & Watkins LLP	Andrew Clubok, Sarah Tomkowiak, Jason Burt	andrew.clubok@lw.com; sarah.tomkowiak@lw.com; jason.burt@lw.com
Counsel for UBS Securities LLC and UBS AG London Branch	Latham & Watkins LLP	Asif Attarwala, Kathryn K. George	asif.attarwala@lw.com; Kathryn.George@lw.com
Counsel for UBS Securities LLC and UBS AG London Branch	Latham & Watkins LLP	Jeffrey E. Bjork, Kimberly A. Posin	jeff.bjork@lw.com; kim.posin@lw.com
Counsel for UBS Securities LLC and UBS AG London Branch	Latham & Watkins LLP	Zachary F. Proulx, Jamie Wine	Zachary.Proulx@lw.com; Jamie.Wine@lw.com
Counsel for Highland Capital Management Fund Advisors, L.P.	Munsch Hardt Kopf & Harr PC	Thomas Daniel Berghman	tberghman@munsch.com
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Highland Income Fund, NexPoint Strategic Opportunities Fund and NexPoint Capital Inc.	Munsch Hardt Kopf & Harr, P.C.	Davor Rukavina, Esq., Julian P. Vasek, Esq.	drukavina@munsch.com; jvasek@munsch.com
Counsel for Official Committee of Unsecured Creditors	Sidley Austin LLP	Matthew Clemente, Alyssa Russell, Elliot A. Bromagen, Dennis M. Twomey	mclemente@sidley.com; alyssa.russell@sidley.com; ebromagen@sidley.com; dtwomey@sidley.com
Counsel for Official Committee of Unsecured Creditors, Marc. S. Kirschner, as Litigation Trustee of the Highland Litigation Sub-Trust	Sidley Austin LLP	Penny P. Reid, Paige Holden Montgomery, Juliana Hoffman, Chandler M. Rognes	preid@sidley.com; pmontgomery@sidley.com; jhoffman@sidley.com; crognes@sidley.com

## **EXHIBIT B**

**Exhibit B**

Affected Parties

Served via Electronic Mail

Description	CreditorName	CreditorNoticeName	Email
Counsel for Frank Waterhouse	Baker & McKenzie LLP	Debra A. Dandeneau	debra.dandeneau@bakermckenzie.com
Counsel for Frank Waterhouse	Baker & McKenzie LLP	Michelle Hartmann	michelle.hartmann@bakermckenzie.com
Counsel for Frank Waterhouse	Ross & Smith, PC	Judith W. Ross, Frances A. Smith, Eric Soderlund	judith.ross@judithwross.com; frances.smith@judithwross.com; eric.soderlund@judithwross.com

## **EXHIBIT C**

## Exhibit C

Adversary Service List  
Served via First Class Mail

Description	CreditorName	CreditorNoticeName	Address1	Address2	City	State	Zip
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Highland Income Fund, NexPoint Strategic Opportunities Fund and NexPoint Capital Inc.	K&L Gates LLP	A. Lee Hogewood, III	4350 Lassiter at North Hills Avenue Suite 300		Raleigh	NC	27609
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Highland Income Fund, NexPoint Strategic Opportunities Fund and NexPoint Capital Inc.	K&L Gates LLP	Artoush Varshosaz	1717 Main Street, Suite 2800		Dallas	TX	75201
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., et al	K&L Gates LLP	David R. Fine	17 North Second Street	18th Floor	Harrisburg	PA	17101
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., et al	K&L Gates LLP	Emily K. Mather	4350 Lassiter at North Hills Avenue Suite 300		Raleigh	NC	27609
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Highland Income Fund, NexPoint Strategic Opportunities Fund and NexPoint Capital Inc.	K&L Gates LLP	James A. Wright III	1 Lincoln Street		Boston	MA	02110
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Highland Income Fund, NexPoint Strategic Opportunities Fund and NexPoint Capital Inc.	K&L Gates LLP	Stephen G. Topetzes	1601 K Street, NW		Washington	DC	20006-1600
Counsel for UBS Securities LLC and UBS AG London Branch	Latham & Watkins LLP	Andrew Clubok, Sarah Tomkowiak, Jason Burt	555 Eleventh Street, NW, Suite 1000		Washington	DC	20004
Counsel for UBS Securities LLC and UBS AG London Branch	Latham & Watkins LLP	Asif Attarwala, Kathryn K. George	330 North Wabash Avenue, Ste. 2800		Chicago	IL	60611
Counsel for UBS Securities LLC and UBS AG London Branch	Latham & Watkins LLP	Jeffrey E. Bjork, Kimberly A. Posin	355 S. Grand Ave., Ste. 100		Los Angeles	CA	90071
Counsel for UBS Securities LLC and UBS AG London Branch	Latham & Watkins LLP	Zachary F. Proulx, Jamie Wine	1271 Avenue of the Americas		New York	NY	10020
Counsel for Highland Capital Management Fund Advisors, L.P.	Munsch Hardt Kopf & Harr PC	Thomas Daniel Berghman	500 N Akard Street	Suite 3800	Dallas	TX	75201-6659
Counsel for Highland Capital Management Fund Advisors, L.P., NexPoint Advisors, L.P., Highland Income Fund, NexPoint Strategic Opportunities Fund and NexPoint Capital Inc.	Munsch Hardt Kopf & Harr, P.C.	Davor Rukavina, Esq., Julian P. Vasek, Esq.	3800 Ross Tower	500 N. Akard Street	Dallas	TX	75202
Counsel for Official Committee of Unsecured Creditors	Sidley Austin LLP	Matthew Clemente, Alyssa Russell, Elliot A. Bromagen, Dennis M. Twomey	One South Dearborn Street		Chicago	IL	60603
Counsel for Official Committee of Unsecured Creditors, Marc. S. Kirschner, as Litigation Trustee of the Highland Litigation Sub-Trust	Sidley Austin LLP	Penny P. Reid, Paige Holden Montgomery, Juliana Hoffman, Chandler M. Rognes	2021 McKinney Avenue Suite 2000		Dallas	TX	75201



## **EXHIBIT D**

**Exhibit D**

Affected Parties

Served via First Class Mail

Description	CreditorName	CreditorNoticeName	Address1	Address2	City	State	Zip
Counsel for Frank Waterhouse	Baker & McKenzie LLP	Debra A. Dandeneau	452 Fifth Ave		New York	NY	10018
Counsel for Frank Waterhouse	Baker & McKenzie LLP	Michelle Hartmann	1900 North Pearl	Suite 1500	Dallas	TX	75201
Counsel for Frank Waterhouse	Ross & Smith, PC	Judith W. Ross, Frances A. Smith, Eric Soderlund	700 North Pearl Street, Suite 1610		Dallas	TX	75201

## **EXHIBIT E**

**Exhibit E**

Affected Parties  
Served via Electronic Mail

Description	CreditorName	CreditorNoticeName	Email
Counsel for Scott Ellington, Thomas Surgent, Frank Waterhouse, and Issac Leventon (the "Senior Employees") and CPCM, LLC	Baker & McKenzie LLP	Debra A. Dandeneau	debra.dandeneau@bakermckenzie.com
Counsel for Scott Ellington, Thomas Surgent, Frank Waterhouse, and Issac Leventon (the "Senior Employees") and CPCM, LLC	Baker & McKenzie LLP	Michelle Hartmann	michelle.hartmann@bakermckenzie.com
US Trustee for District of DE	Office of the United States Trustee	Linda Casey	Linda.Casey@usdoj.gov
US Trustee for Northern District of TX	Office of the United States Trustee	Lisa L. Lambert, Esq	lisa.l.lambert@usdoj.gov
US Trustee for District of DE	Office of the United States Trustee Delaware	Jane M. Leamy	jane.m.leafy@usdoj.gov
Counsel for Scott Ellington, Thomas Surgent, Frank Waterhouse, and Issac Leventon (the "Senior Employees") and CPCM, LLC	Ross & Smith, PC	Judith W. Ross, Frances A. Smith, Eric Soderlund	judith.ross@judithwross.com; frances.smith@judithwross.com; eric.soderlund@judithwross.com